

THE ASIAN BANKER

Risk Management

Awards 2012



Application
Deadline

| 24 February 2012



Singapore, January 27th 2012

Dear Executive,

The Asian Banker Risk Management Awards 2012

We invite your financial institution to make a submission for the inaugural 'The Asian Banker Risk Management Awards', a programme designed to determine and award best practices of risk management in financial institutions. The submission deadline is February 24th 2012.

The programme is meant to assist decision makers by allowing them to draw from a pool of information on risk management through case studies and industry benchmarks, assessed by an independent panel of industry experts combined with The Asian Banker's expertise in risk management.

The winners will be honoured in April 2012 in Bangkok, Thailand at The Asian Banker Summit, the most prestigious gathering of senior bankers in the region. The Summit features, amongst others the risk & regulation conference, which is a unique annual forum for decision makers to share, benchmark and learn from one another's experiences in the planning, implementation as well as monitoring of risk management in financial institutions.

Banks who have demonstrated the strength of their risk management competencies through either an appropriate response to an event or an application of strategy in the current market conditions are encouraged to apply for these awards.

We look forward to hearing from you!

Yours sincerely,



Tiah Wen Li
Programme Coordinator
Risk Management Awards 2012
The Asian Banker
riskawards@theasianbanker.com



Countries participating:

Asia Pacific

- Australia
- Bangladesh
- Brunei
- China
- Hong Kong
- India
- Indonesia
- Japan
- Korea
- Malaysia
- Pakistan
- The Philippines
- Singapore
- Sri Lanka
- Taiwan
- Thailand
- Vietnam

Middle East

- Bahrain
- Jordan
- Kuwait
- Oman
- Qatar
- Saudi Arabia
- UAE

Central Asia

- Kazakhstan
- Kyrgyz Republic
- Tajikistan
- Uzbekistan

THE ASIAN BANKER®

RISK MANAGEMENT

A W A R D S 2 0 1 2

The Awards

The Asian Banker Risk Management awards will consist of the following categories:

1. Overall Outstanding Risk Management Capability
2. Liquidity Risk Management Award
3. Credit Risk Management Award
4. Operational Risk Management Award
5. Market Risk Management Award

Recognising the importance of risk management in financial services

- Well respected and comprehensive assessment of Risk Management capabilities in the financial services industry in Asia.
- Identifies institutions that demonstrate a desire to build a long-term sustainable risk management function.
- Highest transparency through publicly available evaluation criteria, interview questions and balanced scorecards, enabling international benchmarking.
- Opportunities to socialise and network with local, regional and global counterparts during The Asian Banker Summit 2012 in Bangkok, Thailand.

2.1. Who is eligible?

All banks and non-bank financial institutions (including insurance companies, brokerages, credit companies and finance companies) with operations in Asia Pacific countries are eligible for submission.

2.2. What documents do I need to submit?

You need to fill the questionnaires I and II and provide all relevant details of the implementation project. Your submission should be accompanied by a copy of your institution's latest annual report.

2.3. Will institutions that do not make a submission be considered?

The Asian Banker may decide, at its own discretion and on a strictly case by case basis, to consider institutions that do not make a submission. However, institutions that do not make a submission may have a ranking that is not up to their expectations due to unavoidable difficulties in gathering full and accurate information when a submission has not been made.

2.4. What makes a good submission?

Submissions that contain the necessary documentation provide the team of researchers and panel of judges a clearer picture of the capabilities of the institution. A detailed description together with documentation of how the strength of the institution's risk management core competencies was applied either through an event or an application of strategy will greatly help the review process.

As projects are evaluated based on a general criteria for implementation, and a set of category specific criteria, institutions may visit <http://www.asianbankerawards.com/riskmanagement/management-awards.php> for the list of questions for each.

2.5. Is the information submitted made available to the public?

All business sensitive information provided will not be published in any form whatsoever that could reveal the names of the institutions involved. The information selected for determining industry benchmarks will not refer to any institution by name.

2.6. When is the deadline for submissions?

To be considered for an award, please make a complete submission to us no later than February 24th 2012, preferably in electronic format.

2.7. Contact information

For more information on our Risk Management Awards 2012 Programme, please visit our website at <http://www.asianbankerawards.com/riskmanagement/index.php>, or contact Ms Tiah Wen Li at (603) 2284 7114.

Please submit your application in softcopy to riskawards@theasianbanker.com.

Please answer the following questions. Our panel of analysts and judges reserve the right to ask further questions should the need arise.

- 3.1. Describe to us the specific market and institutional conditions that your bank responded to this year that best demonstrates the uniqueness of the situation you dealt with.
- 3.2. Describe the steps taken / projects implemented to mitigate the risks in the year under consideration. (We are looking for specific initiatives, project implementations or organizational response that best depicts your superior risk management capability).
- 3.3. Provide us with any figures that back this up.
- 3.4. Explain how this risk capability has improved the performance of the bank in specific and general functions of the overall banking business. Provide a comparison table of key quantifiable performance indicators prior and after this step was taken.
- 3.5. Explain the distinguishing feature of this step taken; which you feel makes it worthy for the award. Give the unique approaches in project management and the measurable business impact that sets you apart from your peers.
- 3.6. Explain why your institution should win this award as against your peers in the industry?
- 3.7. Contact details:
 - Financial Institution:
 - Salutation: Dr/Mr/Mrs/Ms
 - Name:
 - Title:
 - Department:
 - Address:
 - Phone:
 - Fax:
 - Email:

4 OUR EVALUATION TIMEFRAME

Award Application

Deadline: February 24th 2012

Stage I : First Review

March 7th 2012

Stage II : Interviews

March 7th – 16th 2012

Stage III : Selection and Notification of Award Winners

March 26th 2012

The Asian Banker Summit 2012

Bangkok, Thailand

April 26th – 27th 2012

Complete submissions must reach us no later than the close of business in Singapore on February 24th 2012.

Based on the independent review, our team of analysts may interview short-listed teams in the respective categories. Interviews will be conducted with the relevant people involved in the project.

Based on submissions and interviews our international panel of judges will select the winners for each category.

For enquiries please contact:**Ms Tiah Wen Li**

Programme Coordinator

Risk Management Awards Programme 2012

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riskawards@theasianbanker.com

To submit your application in soft copy:

riskawards@theasianbanker.com

To submit your application in hard copy:

Risk Management Awards Programme 2012

The Asian Banker

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THE ASIAN BANKER
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- Market Risk
- Credit Risk
- Operational Risk
- Regulation
- Counterparty Risk

Retail Banking Working Group
 Transaction Banking Working Group
 Technology & Operations WG
 Data & Analytics Working Group
 List of Leading Practitioners

Weekly Updates:

- Weekly Risk Management News Update, January 18th 2012
- Weekly Risk Management News Update, January 11th 2012
- Weekly Risk Management News Update, January 8th 2012
- Weekly Risk Management News Update, December 28th 2011

The Risk and Regulation Working Group - Main Page
 Member-based programme to help players track trends and identify leaders in the industry

<p>20 <i>Posts by working group members</i></p> <p>Liquidity Risk <i>Funding crises, loss of confidence, large charge offs</i></p>	<p>26 <i>Posts by working group members</i></p> <p>Market Risk <i>Asset value changes connected with systematic factors</i></p>	<p>34 <i>Posts by working group members</i></p> <p>Credit Risk <i>Borrowers' default, deviation of portfolio performance</i></p>
<p>66 <i>Posts by working group members</i></p> <p>Operational Risk <i>Processing, setting, system failures and compliance issues</i></p>	<p>187 <i>Posts by working group members</i></p> <p>Regulation <i>Financial crises, systemic risk, conduct of business oversight</i></p>	<p>4 <i>Posts by working group members</i></p> <p>Counterparty Risk <i>Non performance of trading partners, diversification</i></p>

Our Methodology
 The following sections outline our proprietary methodology, which subscribers can use as a guide to understand the various research notes and analysis:

- About the Benchmarking Centre
- Our Scope of Coverage
- The Technology We Use
- The Team We Use

Advisory Board
 Calendar of Activities
 Other Commentators We Follow
 White Papers

- COOQ (RM) – Understanding and communicating risk reports
- Identifying anti-money laundering issues in Chinese banks
- Regulatory issues raised by the impact of technological changes on market integrity and efficiency

We recently updated the following
 Data, Research Notes, Reports, Discussion Slides, Proceedings Reports, Comments and Related Interviews

News Analysis
DCBC Securities reveals IT resiliency gap with recent two hour outage
 Date: Feb 03, 2012
 Author: Alvin Jazany
 Categories: Operational Risk & Security, Risk and Regulation, Technology & Operations
 Keywords: DCBC Securities, Trading Disruption, ATM Network, IT Infrastructure, Muzo Bank
 Hosting facility's power disruption leaves customers and brokers in the dark

Research Note
EU banks' decreasing exposure to Asian assets: Volatility or opportunity?
 Date: Jan 30, 2012
 Author: Simon Foa
 Categories: Databook, Risk and Regulation
 Keywords: European Banks, Loans, Market Share, Liquidity Risk
 European banks' contribution to total loans in Asia saw a huge decrease from 30% in 2007 to 16% in 1H 2011. What implications does this trend have

The Risk & Regulation Working Group houses a rich repository of industry data, research reports, commentaries, interviews with industry leaders, as well as proceedings reports and presentation materials from our conferences and teleconsultation sessions.

Please visit <http://riskandregulation.theasianbanker.com/> to explore this new service. For all additional information or to subscribe today, please contact Jerome Ong, jong@theasianbanker.com or call (65) 6236 6175.

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